



Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your brokers acting)

1 2 3 4 5 6 As agent

6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request

7 As principal

9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

Please retain this confirmation for income tax purposes Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account If you have questions regarding the E\*TRADE Customer Agreement or your account please email us through etrade.com or call 800-367-2331 THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC (ETS) Member SIPC and a business of Morgan Stanley Your account is carried by ETS which maintains your funds and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9899 or www.finra.org

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

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**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

#### Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges over-the-counter market makers alternative trading systems and ECNs (collectively market centers)) Consistent with the overriding principle of best execution ETS routes orders to various market makers including its affiliate Morgan Stanley & Co. LLC ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request ETS absent instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price improvement opportunities (executions at prices superior to the then prevailing inside market) automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements

Trades noted as AVG PRICE SHOWN DETAILS ON REQ are executed through an average price account The price shown may represent an average of multiple executions or may represent a single execution at the price indicated Details regarding trades done in an average price account are available upon request

#### Structured Products

Structured Products are complex products and may be subject to special risks Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

Rev 04/21 S1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [etrade.com/knowledge/sustainable-investing](https://etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
06/30/22	07/05/22	6 1	AMC	BUY	2	\$13 495	Cash	PRINCIPAL	\$26 99
AMC ENTERTAINMENT HOLDINGS INC CL A COM								NET AMOUNT	\$26 99
06/30/22	07/05/22	6 1	AMD	BUY	2	\$76 525	Cash	PRINCIPAL	\$153 05
ADVANCED MICRO DEVICES INC COM								NET AMOUNT	\$153 05
06/30/22	07/05/22	6 1	MMAT	BUY	295	\$1 0285	Cash	PRINCIPAL	\$303 41
META MATLS INC COMMON STOCK								NET AMOUNT	\$303 41
06/30/22	07/05/22	6 1	MMAT	BUY	205	\$1 025	Cash	PRINCIPAL	\$210 13
META MATLS INC COMMON STOCK								NET AMOUNT	\$210 13
06/30/22	07/05/22	6 1	MMTLP	BUY	50	\$1 56	Cash	PRINCIPAL	\$78 00
META MATLS INC PFD SER A PERPETUAL								COMMISSION	\$4 95
								NET AMOUNT	\$82 95
06/30/22	07/05/22	6 1	TQQQ	BUY	10	\$24 6172	Cash	PRINCIPAL	\$246 17
PROSHARES TRUST ULTRAPRO QQQ PROSPECTUS ON INITIAL PURCHASE								NET AMOUNT	\$246 17
06/30/22	07/05/22	6 1	TSLA	BUY	1	\$672 14	Cash	PRINCIPAL	\$672 14
TESLA INC COMMON STOCK								NET AMOUNT	\$672 14

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Mail deposits to

**TOTAL DEPOSIT**

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

Dollars	Cents

063020220001 900508568293

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GMNA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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R-4/04/21 S1237B04

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/01/22	07/06/22	6 1	AMC	BUY	3	\$13 395	Cash	PRINCIPAL	\$40 19
<b>AMC ENTERTAINMENT HOLDINGS INC CL A COM</b>								<b>NET AMOUNT</b>	<b>\$40 19</b>
07/01/22	07/06/22	6 1	AMD	BUY	1	\$73 556	Cash	PRINCIPAL	\$73 56
<b>ADVANCED MICRO DEVICES INC COM</b>								<b>NET AMOUNT</b>	<b>\$73 56</b>
07/01/22	07/06/22	6 1	DPLS	BUY	50 000	\$ 0214	Cash	PRINCIPAL	\$1 070 00
<b>DARKPULSE INC COMMON STOCK</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$1 074 95</b>
07/01/22	07/06/22	6 1	MMAT	BUY	100	\$1 015	Cash	PRINCIPAL	\$101 50
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$101 50</b>
07/01/22	07/06/22	6 1	NVDA	BUY	1	\$145 03	Cash	PRINCIPAL	\$145 03
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$145 03</b>
07/01/22	07/06/22	6 1	TSLA	BUY	1	\$672 3317	Cash	PRINCIPAL	\$672 33
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$672 33</b>

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 Investment Account

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**Account Number** XXXX 6829

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 ALEXANDER YON

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/06/22	07/07/22	5 1		BUY	10	\$ 25	Cash	PRINCIPAL	\$250 00
<b>CALL MMAT 01/20/23 1 50 META MATLS INC</b>								COMMISSION	\$5 00
OPEN CONTRACT								OPT REG FEE	\$0 10
								<b>NET AMOUNT</b>	<b>\$255 10</b>

07/06/22	07/08/22	6 1	CEI	BUY	200	\$ 37	Cash	PRINCIPAL	\$74 00
<b>CAMBER ENERGY INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$74 00</b>

07/06/22	07/08/22	6 1	IMPPP	BUY	7	\$18 5129	Cash	PRINCIPAL	\$129 59
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>								<b>NET AMOUNT</b>	<b>\$129 59</b>
<b>PREFERRED SHARES WHEN ISSUED</b>									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE 08/06/22 26 00									

07/06/22	07/08/22	6 1	IMPPP	BUY	10	\$18 88	Cash	PRINCIPAL	\$188 80
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>								<b>NET AMOUNT</b>	<b>\$188 80</b>
<b>PREFERRED SHARES WHEN ISSUED</b>									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE 08/06/22 26 00									

07/06/22	07/08/22	6 1	IMPPP	BUY	3	\$18 65	Cash	PRINCIPAL	\$55 95
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>								<b>NET AMOUNT</b>	<b>\$55 95</b>
<b>PREFERRED SHARES WHEN ISSUED</b>									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE 08/06/22 26 00									

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Dollars	Cents

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**TOTAL DEPOSIT**

 E\*TRADE Securities LLC  
 P O Box 484  
 Jersey City NJ 07303 0484

070620220001 900508568293

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
07/06/22	07/08/22	6 1	MMAT	BUY	6	\$1 055	Cash	PRINCIPAL	\$6 33
META MATLS INC COMMON STOCK								NET AMOUNT	\$6 33

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**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

- 1 2 3 4 5 8 As agent  
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
 7 As principal  
 9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

**Terms and Conditions**

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E\*TRADE Securities LLC ("ETS"), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control, be controlled by, or under common control with ETS (i.e., affiliated securities).

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**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively, "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market makers, including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then-prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

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**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rev 04/21 S1217B/4

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Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us etrade.com/knowledge/sustainable investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/07/22	07/11/22	6 1	MMAT	BUY	100	\$1 0567	Cash	PRINCIPAL	\$105 67
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$105 67</b>

07/07/22	07/11/22	6 1	MMTLP	BUY	200	\$1 65	Cash	PRINCIPAL	\$330 00
<b>META MATLS INC PFD SER A</b>								COMMISSION	\$4 95
PERPETUAL								<b>NET AMOUNT</b>	<b>\$334 95</b>

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BALTIMORE MD 21230 1202

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

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P O Box 484  
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070720220001 900508568293



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(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
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Rev 04/21 S1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/08/22	07/11/22	3 1		BUY	5	\$ 34	Cash	PRINCIPAL	\$170 00
<b>CALL MMAT 01/20/23 1 META MATLS INC</b>								COMMISSION	\$2 50
OPEN CONTRACT								OPT REG FEE	\$0 05
								<b>NET AMOUNT</b>	<b>\$172 55</b>
07/08/22	07/12/22	6 1	AMC	BUY	1	\$15 2994	Cash	PRINCIPAL	\$15 30
<b>AMC ENTERTAINMENT HOLDINGS INC CL A COM</b>								<b>NET AMOUNT</b>	<b>\$15 30</b>
07/08/22	07/12/22	6 1	AMD	BUY	1	\$79 9364	Cash	PRINCIPAL	\$79 94
<b>ADVANCED MICRO DEVICES INC COM</b>								<b>NET AMOUNT</b>	<b>\$79 94</b>
07/08/22	07/12/22	6 1	DPLS	BUY	10 000	\$ 0242	Cash	PRINCIPAL	\$242 50
<b>DARKPULSE INC COMMON STOCK</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$247 45</b>
07/08/22	07/12/22	6 1	MMAT	BUY	180	\$1 0368	Cash	PRINCIPAL	\$186 62
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$186 62</b>
07/08/22	07/12/22	6 1	NVDA	BUY	1	\$158 3789	Cash	PRINCIPAL	\$158 38
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$158 38</b>
07/08/22	07/12/22	6 1	WPC	BUY	2	\$80 705	Cash	PRINCIPAL	\$161 41
<b>W P CAREY INC COM</b>								<b>NET AMOUNT</b>	<b>\$161 41</b>

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Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

Dollars	Cents

070820220001 900508568293

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Rev 04/21 S123 B04

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07/11/22	07/13/22	6 1	DWAC	BUY	1	\$27 39	Cash	PRINCIPAL	\$27 39
<b>DIGITAL WORLD ACQUISITION CORP CLASS A COMMON STOCK</b>									<b>NET AMOUNT \$27 39</b>
07/11/22	07/13/22	6 1	IMPP	BUY	1 000	\$ 4101	Cash	PRINCIPAL	\$410 10
<b>***IMPERIAL PETROLEUM INC COMMON SHARES</b>									<b>NET AMOUNT \$410 10</b>
07/11/22	07/13/22	6 1	IMPPP	BUY	6	\$18 5458	Cash	PRINCIPAL	\$111 27
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>									<b>NET AMOUNT \$111 27</b>
<b>PREFERRED SHARES WHEN ISSUED</b>									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE 08/11/22 26 00									
07/11/22	07/13/22	6 1	MMAT	BUY	20	\$1 0063	Cash	PRINCIPAL	\$20 13
<b>META MATLS INC COMMON STOCK</b>									<b>NET AMOUNT \$20 13</b>



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Dollars	Cents

071120220001 900508568293



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6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.

7 As principal

9 As agent and as principal

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission (SEC), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

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Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GMO, FNMA, FHLMC, or GMNA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

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**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rev 04/21 51237604

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/12/22	07/14/22	6 1	AMC	BUY	14	\$15.3463	Cash	PRINCIPAL	\$214.85
								<b>NET AMOUNT</b>	<b>\$214.85</b>

AMC ENTERTAINMENT HOLDINGS INC CL A COM

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/12/22	07/14/22	6 1	MMAT	BUY	100	\$ 9.797	Cash	PRINCIPAL	\$97.97
								<b>NET AMOUNT</b>	<b>\$97.97</b>

META MATLS INC COMMON STOCK

▲ DETACH HERE  
ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

Use This Deposit Slip Acct XXXX-6829

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

071220220001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

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BALTIMORE MD 21230-1202**

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Rev 04/21 S1237B/04


**E\*TRADE Securities**  
**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 P O Box 484  
 Jersey City NJ 07303 0484

**Customer Update**

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us etrade.com/knowledge/sustainable investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/13/22	07/14/22	3 4		BUY	10	\$ 50	Cash	PRINCIPAL	\$500 00
CALL MMAT 10/21/22 50 META MATLS INC								COMMISSION	\$5 00
OPEN CONTRACT								OPT REG FEE	\$0 10
								NET AMOUNT	\$505 10
07/13/22	07/15/22	6 1	IMPPP	BUY	4	\$18 5325	Cash	PRINCIPAL	\$74 13
***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL								NET AMOUNT	\$74 13
PREFERRED SHARES WHEN ISSUED									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE08/13/22 26 00									
07/13/22	07/15/22	6 1	MMAT	BUY	100	\$ 9646	Cash	PRINCIPAL	\$96 46
META MATLS INC COMMON STOCK								NET AMOUNT	\$96 46


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
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Rev 04/21 S1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name

ALEXANDER YON

**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/14/22	07/18/22	6 1	DPLS	BUY	20 000	\$ 0254	Cash	PRINCIPAL	\$509 00
<b>DARKPULSE INC COMMON STOCK</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$513 95</b>
07/14/22	07/18/22	6 1	DPLS	BUY	170 000	\$ 0256	Cash	PRINCIPAL	\$4 352 00
<b>DARKPULSE INC COMMON STOCK</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$4 356 95</b>
07/14/22	07/18/22	6 1	IONQ	BUY	5	\$4 4373	Cash	PRINCIPAL	\$22 19
<b>IONQ INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$22 19</b>
07/14/22	07/18/22	6 1	MMAT	BUY	100	\$ 9268	Cash	PRINCIPAL	\$92 68
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$92 68</b>
07/14/22	07/18/22	6 1	TQQQ	BUY	2	\$24 465	Cash	PRINCIPAL	\$48 93
<b>PROSHARES TRUST ULTRAPRO QQQ</b>								<b>NET AMOUNT</b>	<b>\$48 93</b>
PROSPECTUS ON INITIAL PURCHASE									

▲ DETACH HERE

ALEXANDER YON  
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**Use This Deposit Slip****Acct XXXX-6829**

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to**TOTAL DEPOSIT**E\*TRADE Securities LLC  
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Dollars	Cents

071420220001 900508568293



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(Market)

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issuer is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control be controlled by or under common control with ETS (i.e. affiliated securities)

Additional Fees may include a broker assist fee an order handling fee and/or market center charges ETS will charge a fee if you request to have certificates issued in your name and mailed to you

**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

#### Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges over-the-counter market makers alternative trading systems and ECNs (collectively "market centers")) Consistent with the overriding principle of best execution ETS routes orders to various market makers including its affiliate Morgan Stanley & Co. LLC ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request ETS' best execution instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price improvement opportunities (executions at prices superior to the then prevailing inside market) automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements

Trades noted as AVG PRICE SHOWN-DETAILS ON REQ are executed through an average price account The price shown may represent an average of multiple executions or may represent a single execution at the price indicated Details regarding trades done in an average price account are available upon request

#### Structured Products

Structured Products are complex products and may be subject to special risks Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

R4 04/21 \$1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us.etrade.com/knowledge/sustainable-investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/20/22	07/22/22	6 1	AMD	BUY	3	\$88 2604	Cash	PRINCIPAL	\$264 78
ADVANCED MICRO DEVICES INC COM								NET AMOUNT	\$264 78
07/20/22	07/22/22	6 1	CEI	BUY	100	\$ 4267	Cash	PRINCIPAL	\$42 67
CAMBER ENERGY INC COMMON STOCK								NET AMOUNT	\$42 67
07/20/22	07/22/22	6 1	IMPPP	BUY	10	\$18 5385	Cash	PRINCIPAL	\$185 39
***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL PREFERRED SHARES WHEN ISSUED PERPETUAL 11/23/21 DATED DATE CALLABLE 08/20/22 26 00								NET AMOUNT	\$185 39
07/20/22	07/22/22	6 1	MMAT	BUY	100	\$ 9837	Cash	PRINCIPAL	\$98 37
META MATLS INC COMMON STOCK								NET AMOUNT	\$98 37
07/20/22	07/22/22	6 1	SMIT	BUY	2	\$4 31	Cash	PRINCIPAL	\$8 62
SCHMITT INDUSTRIES INC ORE								NET AMOUNT	\$8 62

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

072020220001 900508568293





Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2,3,4,5,8 As agent  
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
7 As principal  
9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

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Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

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Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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**BALTIMORE MD 21230-1202**

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Rev. 04/21 S1237804

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name

ALEXANDER YON

**E\*TRADE Securities LLC**

P O Box 484

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**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/22/22	07/26/22	6 1	IMPP	BUY	1 000	\$ 3901	Cash	PRINCIPAL	\$390 10
<b>***IMPERIAL PETROLEUM INC COMMON SHARES</b>									<b>NET AMOUNT \$390 10</b>

07/22/22	07/26/22	6 1	IMPPP	BUY	10	\$18 495	Cash	PRINCIPAL	\$184 95
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>									<b>NET AMOUNT \$184 95</b>

**PREFERRED SHARES WHEN ISSUED**

PERPETUAL

11/23/21 DATED DATE

CALLABLE 08/22/22 26 00

07/22/22	07/26/22	6 1	MMAT	BUY	100	\$ 9113	Cash	PRINCIPAL	\$91 13
<b>META MATLS INC COMMON STOCK</b>									<b>NET AMOUNT \$91 13</b>

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BALTIMORE MD 21230 1202

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Mail deposits to**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

072220220001 900508568293





Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the-Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2 3 4 5 6 Agent

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9 As agent and as principal

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Rev 04/21 5123 B04

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Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
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Jersey City NJ 07303 0484**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/26/22	07/27/22	5 1		BUY	10	\$ 09	Cash	PRINCIPAL	\$90 00
<b>CALL IMPP 09/16/22 50 IMPERIAL PETROLEUM INC</b>								COMMISSION	\$5 00
OPEN CONTRACT								OPT REG FEE	\$0 10
								<b>NET AMOUNT</b>	<b>\$95 10</b>
07/26/22	07/28/22	6 1	MMAT	BUY	100	\$ 909	Cash	PRINCIPAL	\$90 90
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$90 90</b>

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Dollars	Cents

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Jersey City NJ 07303 0484

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**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
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(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent

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9 As agent and as principal

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The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield are subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GWINA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "N/A" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

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**Structured Products**

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Rev 04/21 S1237Bn4

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/29/22	08/01/22	3 1		BUY	1	\$ 49	Cash	PRINCIPAL	\$49 00
<b>CALL MMAT 01/20/23 50 META MATLS INC</b>								COMMISSION	\$0 50
OPEN CONTRACT								OPT REG FEE	\$0 01
								<b>NET AMOUNT</b>	<b>\$49 51</b>
07/29/22	08/01/22	3 1		BUY	5	\$ 23	Cash	PRINCIPAL	\$115 00
<b>CALL MMAT 01/20/23 1 META MATLS INC</b>								COMMISSION	\$2 50
OPEN CONTRACT								OPT REG FEE	\$0 05
								<b>NET AMOUNT</b>	<b>\$117 55</b>
07/29/22	08/02/22	6 1	AMC	BUY	1	\$14 5881	Cash	PRINCIPAL	\$14 59
<b>AMC ENTERTAINMENT HOLDINGS INC CL A COM</b>								<b>NET AMOUNT</b>	<b>\$14 59</b>
07/29/22	08/02/22	6 1	KHC	BUY	6	\$36 77	Cash	PRINCIPAL	\$220 62
<b>KRAFT HEINZ COMPANY (THE) COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$220 62</b>
07/29/22	08/02/22	6 1	MMAT	BUY	200	\$ 9015	Cash	PRINCIPAL	\$180 30
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$180 30</b>
07/29/22	08/02/22	6 1	MMTLP	BUY	125	\$1 385	Cash	PRINCIPAL	\$173 13
<b>META MATLS INC PFD SER A</b>								COMMISSION	\$4 95
PERPETUAL								<b>NET AMOUNT</b>	<b>\$178 08</b>
07/29/22	08/02/22	6 1	MMTLP	BUY	75	\$1 39	Cash	PRINCIPAL	\$104 25
<b>META MATLS INC PFD SER A</b>								<b>NET AMOUNT</b>	<b>\$104 25</b>
PERPETUAL									

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BALTIMORE MD 21230 1202

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

Dollars	Cents

072920220001 900508568293

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/29/22	08/02/22	6 1	BBIG	BUY	200	\$ 769	Cash	PRINCIPAL	\$153 80
VINCO VENTURES INC COMMON STOCK								NET AMOUNT	\$153 80

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**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the-Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 B As agent

6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.

7 As principal

9 As agent and as principal

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Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

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**ALEXANDER YON  
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BALTIMORE MD 21230-1202**

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**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

R= 04/21 51237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

Invest on the go With the E\*TRADE Mobile app you have everything you need in the palm of your hand Visit etrade.com/mobile to learn more

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/01/22	08/02/22	3 1		BUY	1	\$ 89	Cash	PRINCIPAL	\$89 00
<b>CALL AMC 08/05/22 15 AMC ENTERTAINMENT HOLDINGS INC</b>								COMMISSION	\$0 50
OPEN CONTRACT								OPT REG FEE	\$0 01
								<b>NET AMOUNT</b>	<b>\$89 51</b>
08/01/22	08/03/22	6 1	IMPPP	BUY	10	\$18 10	Cash	PRINCIPAL	\$181 00
<b>***IMPERIAL PETROLEUM INC 8 75% SERS A CMLTV RDMBL PRPTL</b>								<b>NET AMOUNT</b>	<b>\$181 00</b>
<b>PREFERRED SHARES WHEN ISSUED</b>									
PERPETUAL									
11/23/21 DATED DATE									
CALLABLE 09/01/22 26 00									
08/01/22	08/03/22	6 1	MMAT	BUY	100	\$ 8875	Cash	PRINCIPAL	\$88 75
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$88 75</b>

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1462 DESOTO RD  
BALTIMORE MD 21230 1202

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Use This Deposit Slip Acct XXXX-6829

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

080120220001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

- 1 2 3 4 5 6 7 8 9 As agent
- 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.
- 7 As principal
- 9 As agent and as principal

If the symbol (I) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof.

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules, regulations, customs and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9899 or [www.finra.org](http://www.finra.org).

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RA 04/21 51237804

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**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
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- 1 2 3 4 5 8 As agent  
 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request  
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For an asset backed security (e.g. GNM, FNMA, FHLMC or GMNA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request.

The ratings that appear in the description of some fixed income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated". A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed income transactions may reflect a mark up or mark down both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP).

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**Additional Fees** may include a broker assist fee an order handling fee and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

The SEC (as well as FINRA) requires that all broker dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges over-the-counter market makers alternative trading systems and ECNs (collectively "market centers")). Consistent with the overriding principle of best execution ETS routes orders to various market makers including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS' absent instructions from you to the contrary takes a number of factors into consideration in determining where to route customers orders including the speed of execution price improvement opportunities (executions at prices superior to the then prevailing inside market) automatic execution guarantees the availability of efficient and reliable order handling systems the level of service provided the cost of executing orders whether it will receive cash or non-cash payments for routing order flow and reciprocal business arrangements.

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**Structured Products**

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Rev 04/21 S1237B04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/12/22	08/16/22	6 1	TYDE	BUY	10	\$1 1735	Cash	PRINCIPAL	\$11 74
								<b>NET AMOUNT</b>	<b>\$11 74</b>

CRYPTYDE INC COMMON STOCK

08/12/22	08/16/22	6 1	MMAT	BUY	200	\$ 9892	Cash	PRINCIPAL	\$197 85
								<b>NET AMOUNT</b>	<b>\$197 85</b>

META MATLS INC COMMON STOCK

08/12/22	08/16/22	6 1	MMTLP	BUY	300	\$1 68	Cash	PRINCIPAL	\$504 00
								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$508 95</b>

META MATLS INC PFD SER A  
PERPETUAL

08/12/22	08/16/22	6 1	MMTLP	BUY	100	\$1 67	Cash	PRINCIPAL	\$167 00
								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$171 95</b>

META MATLS INC PFD SER A  
PERPETUAL

08/12/22	08/16/22	6 1	NVDA	BUY	1	\$185 3089	Cash	PRINCIPAL	\$185 31
								<b>NET AMOUNT</b>	<b>\$185 31</b>

NVIDIA CORP

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BALTIMORE MD 21230 1202

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

081220220001 900508568293



Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over-the-Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)  
1 2 3 4 5 6 As agent  
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
7 As principal  
9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you, the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E\*TRADE Securities LLC ("ETS"), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

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If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

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Rev 04/21 5123 B04

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Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

**Customer Update**

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
08/19/22	08/23/22	6 1	MMAT	BUY	200	\$ 876	Cash	PRINCIPAL	\$175 20
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$175 20</b>
08/19/22	08/23/22	6 1	MMTLP	BUY	100	\$1 40	Cash	PRINCIPAL	\$140 00
<b>META MATLS INC PFD SER A PERPETUAL</b>								COMMISSION	\$ 4 95
								<b>NET AMOUNT</b>	<b>\$144 95</b>
08/19/22	08/23/22	6 1	TQQQ	BUY	10	\$35 365	Cash	PRINCIPAL	\$353 65
<b>PROSHARES TRUST ULTRAPRO QQQ</b>								<b>NET AMOUNT</b>	<b>\$353 65</b>
PROSPECTUS ON INITIALPURCHASE									
08/19/22	08/23/22	6 1	SHOP	BUY	5	\$34 285	Cash	PRINCIPAL	\$171 43
<b>***SHOPIFY INC CL A</b>								<b>NET AMOUNT</b>	<b>\$171 43</b>

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BALTIMORE MD 21230 1202

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Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

081920220001 900508568293





## Type of Transaction

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
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(Capacity in which your broker is acting)

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7 As principal

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**BALTIMORE MD 21230-1202**

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R= 04/21 51237B04

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Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
09/02/22	09/07/22	6 1	MMAT	BUY	100	\$ 7731	Cash	PRINCIPAL	\$77 32
<b>META MATLS INC COMMON STOCK</b>									<b>NET AMOUNT \$77 32</b>

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BALTIMORE MD 21230 1202

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Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

090220220001 900508568293



**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
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**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rev 04/21 31237304

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
09/23/22	09/27/22	6 1	AMD	BUY	5	\$67.34	Cash	PRINCIPAL	\$336.70
<b>ADVANCED MICRO DEVICES INC COM</b>								<b>NET AMOUNT</b>	<b>\$336.70</b>
09/23/22	09/27/22	6 1	MMAT	BUY	100	\$ 67.93	Cash	PRINCIPAL	\$67.93
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$67.93</b>
09/23/22	09/27/22	6 1	NVDA	BUY	1	\$123.17	Cash	PRINCIPAL	\$123.17
<b>NVIDIA CORP</b>								<b>NET AMOUNT</b>	<b>\$123.17</b>
09/23/22	09/27/22	6 1	TQQQ	BUY	50	\$21.1675	Cash	PRINCIPAL	\$1,058.38
<b>PROSHARES TRUST ULTRAPRO QQQ</b>								<b>NET AMOUNT</b>	<b>\$1,058.38</b>
PROSPECTUS ON INITIAL PURCHASE									
09/23/22	09/27/22	6 1	TSLA	BUY	10	\$277.0073	Cash	PRINCIPAL	\$2,770.07
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$2,770.07</b>

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BALTIMORE MD 21230 1202

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Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

092320220001 900508568293



#### Type of Transaction (Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the-Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

#### (Capacity in which your brokers acting)

- 1 23 4 5 6 Agent  
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
 7 As principal  
 9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU. IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU, Securities products and services are offered by E\*TRADE Securities LLC ("ETS"), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules, regulations, customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "N/A" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark-down, both as a dollar and percentage value. The mark up or mark-down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark-down percentage value is calculated as the mark up or mark-down dollar value divided by the PMP. Trades with a mark up or mark-down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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**ALEXANDER YON  
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BALTIMORE MD 21230-1202**

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PA 04/21 S123 B04

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**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name

ALEXANDER YON

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TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
09/26/22	09/28/22	6 1	MMAT	BUY	200	\$ 6724	Cash	PRINCIPAL	\$134 49
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$134 49</b>
09/26/22	09/28/22	6 1	MMTLP	BUY	200	\$1 52	Cash	PRINCIPAL	\$304 00
<b>META MATLS INC PFD SER A</b>								COMMISSION	\$4 95
PERPETUAL								<b>NET AMOUNT</b>	<b>\$308 95</b>

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Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

092620220001 900508568293


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
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Rev 04/21 S1237604

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name

ALEXANDER YON

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
09/29/22	10/03/22	6 1	GOOGL	BUY	1	\$96 328	Cash	PRINCIPAL	\$96 33
ALPHABET INC CLASS A COMMON STOCK								NET AMOUNT	\$96 33
09/29/22	10/03/22	6 1	IONQ	BUY	10	\$4 705	Cash	PRINCIPAL	\$47 05
IONQ INC COMMON STOCK								NET AMOUNT	\$47 05
09/29/22	10/03/22	6 1	MMAT	BUY	200	\$ 657	Cash	PRINCIPAL	\$131 40
META MATLS INC COMMON STOCK								NET AMOUNT	\$131 40
09/29/22	10/03/22	6 1	MMTLP	BUY	200	\$1 51	Cash	PRINCIPAL	\$302 00
META MATLS INC PFD SER A PERPETUAL								COMMISSION	\$4 95
								NET AMOUNT	\$306 95
09/29/22	10/03/22	6 1	NVDA	BUY	1	\$120 5676	Cash	PRINCIPAL	\$120 57
NVIDIA CORP								NET AMOUNT	\$120 57
09/29/22	10/03/22	6 1	TSLA	BUY	2	\$267 55	Cash	PRINCIPAL	\$535 10
TESLA INC COMMON STOCK								NET AMOUNT	\$535 10
09/29/22	10/03/22	6 1	TSLA	BUY	2	\$272 2944	Cash	PRINCIPAL	\$544 59
TESLA INC COMMON STOCK								NET AMOUNT	\$544 59



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Dollars	Cents

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from Morgan Stanley

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
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| 3 Other US Registered Exchanges | 7 Underwriting              |
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(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent

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Trades noted as "AVG PRICE SHOWN DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rev 04/21 S 123 B04



**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
10/12/22	10/14/22	6 1	MMAT	BUY	7	\$1 015	Cash	PRINCIPAL	\$7 11
META MATLS INC COMMON STOCK								NET AMOUNT	\$7 11

▲ DETACH HERE  
ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

Use This Deposit Slip Acct XXXX-6829

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

101220220001 900508568293

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent

6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.

7 As principal

9 As agent and as principal

**Terms and Conditions**

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU. IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU, Securities products and services are offered by E\*TRADE Securities LLC (ETS), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission (SEC), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "N/A" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control, be controlled by, or under common control with ETS (i.e., affiliated securities).

Additional Fees may include a broker assist fee, an order handling fee, and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

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Rev 04/21 S1237804

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YON**E\*TRADE Securities LLC**P O Box 484  
Jersey City NJ 07303 0484**Customer Update**

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE			
10/21/22	10/25/22	3 1	MMAT	BUY	1 000	\$ 50	Cash	PRINCIPAL	\$500 00	
META MATLS INC COMMON STOCK										
									NET AMOUNT	\$500 00



## Type of Transaction

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 8 As agent

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules, regulations, customs, and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

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The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

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**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

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## Structured Products

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Rev 04/21 S1237E04

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION**

Account Number XXXX 6829

Account Name  
ALEXANDER YONE\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Want to get important documents faster? Get your statements, confirms, and tax forms online with paperless delivery. Enroll at [etrade.com/paperless](http://etrade.com/paperless)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
11/16/22	11/18/22	6 1	MMAT	BUY	100	\$1 745	Cash	PRINCIPAL	\$174 50
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$174 50</b>

▲ DETACH HERE

ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

**Use This Deposit Slip Acct. XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

111620220001 900508568293



#### Type of Transaction (Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

#### (Capacity in which your broker is acting)

- 1 2 3 4 5 6 As agent  
 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
 7 As principal  
 9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto. A copy of which has been or will be delivered to you. The receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

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**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

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#### Structured Products

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RW 04/21 51237804

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
11/18/22	11/22/22	3 1	MMAT	BUY	1 000	\$1 00	Cash	PRINCIPAL	\$1 000 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 000 00</b>
AS OF 11/18/22									
OPTION EXERCISE									
CALL MMAT 11/18/22 1									

112120220001 900508568293




**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

**(Capacity in which your broker is acting)**

- 1 2 3 4 5 6 As agent  
6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request  
7 As principal  
9 As agent and as principal

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If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

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**Terms and Conditions**

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission (SEC) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-269-9989 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

Investments in securities are not guaranteed by ETS are not insured by the FDIC and will fluctuate with changes in market conditions When selling a security you may receive more or less than your original investment

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GMNA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark-down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

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**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

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**Structured Products**

Structured Products are complex products and may be subject to special risks Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset

Rev 04/21 51237B04


**E\*TRADE Securities**  
**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

**E\*TRADE Securities LLC**  
 P O Box 484  
 Jersey City NJ 07303 0484

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
11/22/22	11/25/22	6 1	MMAT	BUY	20	\$2 0675	Cash	PRINCIPAL	\$41 35
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$41 35</b>

11/22/22	11/25/22	6 1	TSLA	BUY	6	\$168 23	Cash	PRINCIPAL	\$1 009 38
<b>TESLA INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 009 38</b>

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 Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

 E\*TRADE Securities LLC  
 P O Box 484  
 Jersey City NJ 07303 0484

112220220001 900508568293


**Type of Transaction (Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

**(Capacity in which your broker is acting)**

- |                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 1 2 3 4 5 8 As agent                                                                                                                          |
| 6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request |
| 7 As principal                                                                                                                                |
| 9 As agent and as principal                                                                                                                   |

If the symbol ( ) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto; a copy of which has been or will be delivered to you; the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

**Terms and Conditions**

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**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

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Rev 04/21 S1237894


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**Investment Account**
**TRADE CONFIRMATION**
**Account Number** XXXX 6829

**Account Name**  
 ALEXANDER YON

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 P O Box 484  
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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
12/02/22	12/05/22	2 1		BUY	100	\$ 03	Cash	PRINCIPAL	\$300 00
<b>CALL ET 01/20/23 15 ENERGY TRANSFER LP</b>								COMMISSION	\$50 00
OPEN CONTRACT								OPT REG FEE	\$1 00
								<b>NET AMOUNT</b>	<b>\$351 00</b>
12/02/22	12/06/22	6 1	MMAT	BUY	253	\$1 84	Cash	PRINCIPAL	\$465 52
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$465 52</b>

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 Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

 E\*TRADE Securities LLC  
 P O Box 484  
 Jersey City NJ 07303 0484

120220220001 900508568293

**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

(Capacity in which your broker is acting)

1 2 3 4 5 8 As agent

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7 As principal

9 As agent and as principal

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
12/08/22	12/12/22	6 1	MMAT	BUY	100	\$1 7891	Cash	PRINCIPAL	\$178 91
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$178 91</b>
12/08/22	12/12/22	6 1	MMTLP	BUY	100	\$4 78	Cash	PRINCIPAL	\$478 00
<b>META MATLS INC PFD SER A PERPETUAL</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$482 95</b>
12/08/22	12/12/22	6 1	MMTLP	BUY	900	\$3 10	Cash	PRINCIPAL	\$2 790 00
<b>META MATLS INC PFD SER A PERPETUAL</b>								COMMISSION	\$4 95
								<b>NET AMOUNT</b>	<b>\$2 794 95</b>

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**TOTAL DEPOSIT**

Dollars	Cents

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 P O Box 484  
 Jersey City NJ 07303 0484

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**Type of Transaction**

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
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BALTIMORE MD 21230-1202**

**Payment for Order Flow Disclosure**

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively "market centers"). Consistent with the overriding principle of best execution, ETS routes orders to various market makers, including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then-prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

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**Structured Products**

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rev 04/21 S1237B04



**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number** XXXX 6829**Account Name**

ALEXANDER YON

**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

**Customer Update**

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TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
01/20/23	01/24/23	3 1	MMAT	BUY	1 000	\$1 00	Cash	PRINCIPAL	\$1 000 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$1 000 00</b>
AS OF 01/20/23									
OPTION EXERCISE									
CALL MMAT 01/20/23 1									
PART OF 1100 SHR									

TRADE DATE	SETL DATE	MKT/ CPT	SYMBOL/ CUSIP	BUY/ SELL	QUANTITY	PRICE	ACCT TYPE		
01/20/23	01/24/23	3 1	MMAT	BUY	100	\$ 50	Cash	PRINCIPAL	\$50 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$50 00</b>
AS OF 01/20/23									
OPTION EXERCISE									
CALL MMAT 01/20/23 50									
PART OF 1100 SHR									

▲ DETACH HERE

ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

**Use This Deposit Slip****Acct XXXX-6829****Please do not send cash**

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

012320230001 900508568293



Type of Transaction  
(Market)  
1 NYSE  
2 NYSE American  
3 Other US Registered Exchanges  
4 Mutual Funds  
5 CBOE  
6 Over the Counter  
7 Underwriting  
8 Foreign Exchange or Other

(Capacity in which your broker is acting)  
1 2 3 4 5 6 As agent  
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
7 As principal  
9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you, the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

If the symbol (T) appears in the security description, an officer or employee of ETS is a director of the issuer of the securities involved in this transaction. A control relationship may therefore exist between the issuer and ETS.

Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-367-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E\*TRADE Securities LLC ("ETS"), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees, among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GNMA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "WR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark-down, both as a dollar and percentage value. The mark up or mark-down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity. The mark up or mark-down percentage value is calculated as the mark up or mark-down dollar value divided by the PMP. Trades with a mark up or mark-down value of N/A indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control, be controlled by, or under common control with ETS (i.e., affiliated securities).

Additional Fees may include a broker assist fee, an order handling fee, and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230-1202**

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RW 04/21 51237804

**E\*TRADE Securities**

Investment Account

**TRADE CONFIRMATION****Account Number** XXXX 6829**Account Name**  
ALEXANDER YON**E\*TRADE Securities LLC**  
P O Box 484  
Jersey City NJ 07303 0484**Customer Update**Want to get important documents faster? Get your statements confirms and tax forms online with paperless delivery Enroll at [etrade.com/paperless](http://etrade.com/paperless)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
01/31/23	02/02/23	6 1	MMAT	BUY	100	\$ 9896	Cash	PRINCIPAL	\$98 96
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$98 96</b>

▲ DETACH HERE  
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BALTIMORE MD 21230 1202

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Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

013120230001 900508568293


**Type of Transaction  
(Market)**

- |                                 |                             |
|---------------------------------|-----------------------------|
| 1 NYSE                          | 5 CBOE                      |
| 2 NYSE American                 | 6 Over the Counter          |
| 3 Other US Registered Exchanges | 7 Underwriting              |
| 4 Mutual Funds                  | 8 Foreign Exchange or Other |

**(Capacity in which your broker is acting)**

- 1 2 3 4 5 8 As agent  
 6 As agent for both buyer and seller The commission charged to the party on the other side of the transaction will be furnished upon request  
 7 As principal  
 8 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof the offering of the described security has been made solely by means of the prospectus relating thereto a copy of which has been or will be delivered to you the receipt of which is acknowledged by payment hereof

If the symbol (F) appears in the security description it indicates that the security is Foreign and may be subject to interest equalization tax

If the symbol (T) appears in the security description an officer or employee of ETS is a director of the issuer of the securities involved in this transaction A control relationship may therefore exist between the issuer and ETS

Please retain this confirmation for income tax purposes Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service

**Terms and Conditions**

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account If you have questions regarding the E\*TRADE Customer Agreement or your account please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331 THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU Securities products and services are offered by E\*TRADE Securities LLC (ETS\*) Member SIPC and a business of Morgan Stanley Your account is carried by ETS which maintains your funds and securities deposited with ETS An affiliate of ETS may act as a principal or agent in your transaction(s)

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution rules regulations customs and usages of the exchange or market and its clearing house where the transactions are executed by ETS or its agents including ETS affiliates Also where applicable the transactions shall be subject to the provisions of the Securities Act of 1933 as amended the Securities Exchange Act of 1934 as amended and the rules and regulations of the Securities and Exchange Commission ( SEC ) the Board of Governors of the Federal Reserve System and any applicable self regulatory organization For information about FINRA's BrokerCheck Program including an investor brochure please contact FINRA at 800-289-9999 or [www.finra.org](http://www.finra.org)

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers In the event that securities so held are called by the issuer the securities to be called will be determined by an impartial and random selection system If your security is selected it will be presented for redemption and your account credited with the proceeds You have the right to withdraw uncalled fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction

The responsibility to cancel an existing open order rests with you Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account Market orders are subject to immediate execution and are difficult to cancel

If required payment or delivery of securities is not made by the settlement date ETS may at its option and without further notice charge interest on the amount shown on the face hereof or cancel sell out or buy in the subject securities and charge your account for any expenses losses or other costs incurred

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The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered Other charges may include commissions paid to affiliates of ETS local taxes transaction fees and exchange fees among others For customers who choose to convert from the currency in which the security or payment thereon is denominated the amounts provided with respect to principal interest dividend and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs including a markup charged by ETS Further details are available upon written request The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request

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Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield complete information will be provided upon request With respect to a zero coupon issue you will not receive periodic payments and the securities may be callable at a price below maturity value Furthermore a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issuer is registered

For an asset backed security (e.g. GNM, FNMA, FHLMC or GNMA) the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid Information concerning factors that affect yield including estimated yield weighted average life and prepayment assumptions are available upon request

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable however we cannot guarantee their accuracy Securities for which a rating is not available will be marked Not Rated A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer Confirmations related to fixed income transactions may reflect a mark up or mark-down both as a dollar and percentage value The mark up or mark down dollar value is calculated as the execution price less the prevailing market price (PMP) multiplied by the quantity The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP Trades with a mark up or mark down value of N/A indicate a negative mark up (i.e. the sale of the security was at a price lower than the PMP)

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**ALEXANDER YON  
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BALTIMORE MD 21230-1202**

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Rev 04/21 S1237B04



**E\*TRADE Securities**  
Investment Account

## TRADE CONFIRMATION

**Account Number** XXXX 6829

**Account Name**  
ALEXANDER YON

**E\*TRADE Securities LLC**  
P O Box 484  
Jersey City NJ 07303 0484

### Customer Update

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us etrade.com/knowledge/sustainable investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
06/12/23	06/13/23	3 4		BUY	1	\$3 25	Cash	PRINCIPAL	\$325 00
<b>CALL TSLA 06/16/23 262 50 TESLA INC</b>								COMMISSION	\$0 50
OPEN CONTRACT								OPT REG FEE	\$0 01
								<b>NET AMOUNT</b>	<b>\$325 51</b>
06/12/23	06/14/23	6 1	MMAT	BUY	1 000	\$ 2333	Cash	PRINCIPAL	\$233 30
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$233 30</b>

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1462 DESOTO RD  
BALTIMORE MD 21230 1202

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**Use This Deposit Slip Acct: XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

061220230001 900508568293



Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)

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6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.
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Rev 04/21 51237834

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TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
06/29/23	07/03/23	6 1	MMAT	BUY	9 261	\$ 203	Cash	PRINCIPAL	\$1 879 98
								<b>NET AMOUNT</b>	<b>\$1 879 98</b>

**META MATLS INC COMMON STOCK**

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1462 DESOTO RD  
BALTIMORE MD 21230 1202

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**Use This Deposit Slip Acct. XXXX-6829****Please do not send cash**Make checks payable to E\*TRADE Securities LLC  
Mail deposits to**TOTAL DEPOSIT**

Dollars	Cents

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P O Box 484  
Jersey City NJ 07303 0484

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Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2 3 4 5 8 As agent

6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.

7 As principal

9 As agent and as principal

If the symbol ( ) appears in the security description on the face hereof, the offering of the described security has been made solely by means of the prospectus relating thereto; a copy of which has been or will be delivered to you; the receipt of which is acknowledged by payment hereof.

If the symbol (F) appears in the security description, it indicates that the security is Foreign and may be subject to interest equalization tax.

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Please retain this confirmation for income tax purposes. Federal regulations require brokers to report proceeds from all transactions to the Internal Revenue Service.

#### Terms and Conditions

Please refer to the E\*TRADE Customer Agreement for a complete discussion of the terms and conditions governing your account. If you have questions regarding the E\*TRADE Customer Agreement or your account, please email us through [etrade.com](mailto:etrade.com) or call 800-387-2331. THE INFORMATION CONTAINED IN THIS CONFIRMATION SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT IN WRITING WITHIN TWO (2) DAYS AFTER THE CONFIRMATION IS FIRST RECEIVED BY YOU. Securities products and services are offered by E\*TRADE Securities LLC ("ETS"), Member SIPC and a business of Morgan Stanley. Your account is carried by ETS, which maintains your funds and securities deposited with ETS. An affiliate of ETS may act as a principal or agent in your transaction(s).

**Applicable Rules and Regulations.** All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933 as amended, the Securities Exchange Act of 1934 as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's BrokerCheck Program, including an investor brochure, please contact FINRA at 800-289-9899 or [www.finra.org](http://www.finra.org).

Securities held on your behalf at ETS or a central clearing facility but not registered in your name are commingled with identical securities held for other customers. In the event that securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. If your security is selected, it will be presented for redemption and your account credited with the proceeds. You have the right to withdraw uncalled, fully paid securities at any time prior to a partial call and also to withdraw excess margin securities not subject to restriction.

The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

If required payment or delivery of securities is not made by the settlement date, ETS may, at its option and without further notice, charge interest on the amount shown on the face hereof or cancel, sell out, or buy in the subject securities and charge your account for any expenses, losses, or other costs incurred.

Investments in securities are not guaranteed by ETS, are not insured by the FDIC, and will fluctuate with changes in market conditions. When selling a security, you may receive more or less than your original investment.

The principal amount or commission described on the face hereof may include an Additional Fee for special services rendered. Other charges may include commissions paid to affiliates of ETS, local taxes, transaction fees, and exchange fees among others. For customers who choose to convert from the currency in which the security or payment thereon is denominated, the amounts provided with respect to principal, interest, dividend, and/or yield is subject to fluctuation or applicable foreign currency exchange rates and costs, including a markup charged by ETS. Further details are available upon written request. The name of the party with whom this transaction was made and time of execution of the transaction will be furnished upon written request.

Securities purchased by you or held by ETS may be hypothecated and/or commingled with securities carried for other customers.

Call features for bonds may exist and such bonds can be redeemed in whole or in part before maturity and could affect the yield. Complete information will be provided upon request. With respect to a zero coupon issue, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issuer is registered.

For an asset-backed security (e.g., GNM, FNMA, FHLMC, or GMNA), the actual yield may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions, are available upon request.

The ratings that appear in the description of some fixed-income securities have been obtained from rating services that we believe to be reliable; however, we cannot guarantee their accuracy. Securities for which a rating is not available will be marked "Not Rated." A withdrawn rating "NR" signifies the removal of a rating on either an obligation or issuer. Confirmations related to fixed-income transactions may reflect a mark up or mark down, both as a dollar and percentage value. The mark up or mark down dollar value is calculated as the execution price less the prevailing market price ("PMP") multiplied by the quantity. The mark up or mark down percentage value is calculated as the mark up or mark down dollar value divided by the PMP. Trades with a mark up or mark down value of "N/A" indicate a negative mark up (i.e., the sale of the security was at a price lower than the PMP).

Final prospectus and/or offering documentation is available upon request in connection with your purchase of a security where the issuer is deemed to control, be controlled by, or under common control with ETS (i.e., affiliated securities).

Additional Fees may include a broker assist fee, an order handling fee, and/or market center charges. ETS will charge a fee if you request to have certificates issued in your name and mailed to you.

**ALEXANDER YON**  
**1462 DESOTO RD**  
**BALTIMORE MD 21230-1202**

#### Payment for Order Flow Disclosure

The SEC (as well as FINRA) requires that all broker-dealers inform their customers when a new account is opened and on an annual basis thereafter of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECNs (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market makers, including its affiliate Morgan Stanley & Co. LLC. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS, absent instructions from you to the contrary, takes a number of factors into consideration in determining where to route customers' orders, including the speed of execution, price improvement opportunities (executions at prices superior to the then prevailing inside market), automatic execution guarantees, the availability of efficient and reliable order handling systems, the level of service provided, the cost of executing orders, whether it will receive cash or non-cash payments for routing order flow, and reciprocal business arrangements.

Trades noted as "AVG PRICE SHOWN DETAILS ON REQ" are executed through an average price account. The price shown may represent an average of multiple executions or may represent a single execution at the price indicated. Details regarding trades done in an average price account are available upon request.

#### Structured Products

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset.

Rm 04/21 5123 B04



**E\*TRADE Securities**  
Investment Account

## TRADE CONFIRMATION

**Account Number** XXXX-6829

**Account Name**

ALEXANDER YON

**E\*TRADE Securities LLC**

P O Box 484

Jersey City NJ 07303 0484

### Customer Update

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at [us.etrade.com/knowledge/sustainable-investing](https://us.etrade.com/knowledge/sustainable-investing)

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
06/30/23	07/05/23	6 1	MMAT	BUY	64 000	\$ 219	Cash	PRINCIPAL	\$14 016 00
<b>META MATLS INC COMMON STOCK</b>								<b>NET AMOUNT</b>	<b>\$14 016 00</b>

▲ DETACH HERE  
ALEXANDER YON  
1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

**Use This Deposit Slip Acct: XXXX-6829**

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

**TOTAL DEPOSIT**

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

063020230001 900508568293



Type of Transaction  
(Market)

1 NYSE	5 CBOE
2 NYSE American	6 Over the Counter
3 Other US Registered Exchanges	7 Underwriting
4 Mutual Funds	8 Foreign Exchange or Other

(Capacity in which your broker is acting)

1 2 3 4 5 6 As agent  
6 As agent for both buyer and seller. The commission charged to the party on the other side of the transaction will be furnished upon request.  
7 As principal  
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The responsibility to cancel an existing open order rests with you. Any transaction that results from the execution of any orders that you have not instructed us to cancel prior to execution will be recorded in your account. Market orders are subject to immediate execution and are difficult to cancel.

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Page 04/21 51237804



**E\*TRADE Securities**  
Investment Account

## TRADE CONFIRMATION

Account Number XXXX 6829

Account Name  
ALEXANDER YON

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

### Customer Update

Invest to make an impact Learn how sustainable investing offers growth potential while supporting your values at us etrade.com/knowledge/sustainable investing

TRADE DATE	SETL DATE	MKT / CPT	SYMBOL / CUSIP	BUY / SELL	QUANTITY	PRICE	ACCT TYPE		
07/07/23	07/11/23	6 1	FNGR	BUY	100	\$4 805	Cash	PRINCIPAL	\$480 50
FINGERMOTION INC COMMON STOCK								NET AMOUNT	\$480 50
07/07/23	07/11/23	6 1	MMAT	BUY	71 231	\$ 1891	Cash	PRINCIPAL	\$13 469 78
META MATLS INC COMMON STOCK								NET AMOUNT	\$13 469 78
07/07/23	07/11/23	6 1	MMAT	BUY	440	\$ 189	Cash	PRINCIPAL	\$83 16
META MATLS INC COMMON STOCK								NET AMOUNT	\$83 16
07/07/23	07/11/23	6 1	MMAT	BUY	30 329	\$ 1857	Cash	PRINCIPAL	\$5 632 10
META MATLS INC COMMON STOCK								NET AMOUNT	\$5 632 10

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1462 DESOTO RD  
BALTIMORE MD 21230 1202

DETACH HERE ▲

Use This Deposit Slip

Acct XXXX-6829

Please do not send cash

Make checks payable to E\*TRADE Securities LLC  
Mail deposits to

TOTAL DEPOSIT

Dollars	Cents

E\*TRADE Securities LLC  
P O Box 484  
Jersey City NJ 07303 0484

070720230001 900508568293



## Type of Transaction

(Market)

- |                                 |                             |
|---------------------------------|-----------------------------|
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| 2 NYSE American                 | 6 Over the Counter          |
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(Capacity in which your broker is acting)

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## Structured Products

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Rev 04/21 S123 B04



Your Account Number 725-XXX127-203  
Account Type - Cash

ALEXANDER YON TOD  
SUBJECT TO STA RULES  
4516 REBECCA CT  
ELLICOTT CITY MD 21043

E\*TRADE from Morgan Stanley  
P O BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331

*This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page*

Trade Date	Settlement Date	Quantity	Price	Settlement Amount	
10/06/2023	10/11/2023	471	0 2157	Principal	\$101 59
Transaction Type Bought				Net Amount	\$101 59

**Description META MATERIALS INC**

Symbol / CUSIP / ISIN MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent

Morgan Stanley Smith Barney LLC Member SIPC The transaction(s) may have been executed with Morgan Stanley & Co LLC, an affiliate, which may receive compensation for any such services E\*TRADE is a business of Morgan Stanley



## CONDITIONS AND DISCLOSURES

CODES ABBREVIATIONS AND EXPLANATIONS	CONDITIONS
<p><b>EXCHANGE WHERE EXECUTED</b></p> <p><b>EXECUTION CODE</b></p> <p>1 New York Stock Exchange  3 NASDAQ OMX PHLX  4 Chicago Stock Exchange  5 NYSE MKT LLC  6 Other Markets  7 Over the Counter  8 Morgan Stanley Smith Barney LLC as principal which may result in a profit to Morgan Stanley Smith Barney LLC</p> <p>1 2 3 4 5 9 F L P T V O R W As agent we have bought or sold for your account  6 As agent for another we have sold to you or brought from you  7 C E G N O R S As principal we sold to you or bought from you for our own account  8 O R U Prospectus/Official Statement  A B C X Y O R Z Primary and Secondary Unit Trust or listed and OTC when Issued Securities  K Precious Metals  M R Mutual Funds</p> <p><b>OTHER ABBREVIATIONS</b>  ELTR Estimated Long Term Return  CR Current Return  PV Par Value</p>	<p><b>IT IS AGREED THAT</b>  All transactions are subject to the constitution rules regulations by laws interpretations customs and usages of the Financial Industry Regulatory Authority the various applicable exchanges markets or clearing houses and all U.S. and non U.S. governmental and self regulatory organizations statutes rules and regulations as currently in effect or as they may be hereinafter amended revised or supplemented including those of the Securities and Exchanges Commission and the Federal Reserve Board</p> <p>Payment for securities purchased and delivery of securities sold must be received by Morgan Stanley Smith Barney LLC no later than the date of settlement (the Settlement Date ) indicated on the reverse side hereof. Payments and deliveries not received by Settlement Date may be subject to late fees liquidation or close out of the transaction and you will be liable for all costs fees expenses liabilities obligations losses claims and damages incurred by Morgan Stanley Smith Barney LLC or asserted against Morgan Stanley Smith Barney LLC by any third party arising directly or indirectly from your failure to make payment or delivery by the Settlement Date</p> <p>Securities held in margin accounts or purchased but not yet paid for in cash accounts may be hypothecated by Morgan Stanley Smith Barney LLC under circumstances which will permit the commingling thereof with securities of other clients</p> <p>You must own all securities sold long and such securities must either be (i) on deposit in your account(s) or (ii) delivered to Morgan Stanley Smith Barney LLC by the Settlement Date</p> <p>Morgan Stanley Smith Barney LLC will furnish upon your written request the date and time when the transaction took place the name of the other party to the transaction and the source and amount of any other remuneration received or to be received by Morgan Stanley Smith Barney LLC in connection with the transaction</p> <p>Morgan Stanley Smith Barney LLC and/or its affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits will be furnished upon your written request</p> <p>Debt securities may be redeemed in whole or in part before maturity and such redemption could affect any yield represented in this trade confirmation. Additional information is available upon request</p> <p>Credit rating(s) if any contained on this trade confirmation were provided by an unaffiliated third party. In some instances the credit rating shown is based on the issuer's credit ranking and not the credit rating of the specific security purchased or sold. For an explanation of credit ratings for bonds please see <a href="http://www.morganstanley.com/wealth/investmentsolutions/creditratings.asp">www.morganstanley.com/wealth/investmentsolutions/creditratings.asp</a></p> <p>Insurance trades are subject to carrier underwriting approval</p>
<p><b>FINAL PROSPECTUS/OFFERING DOCUMENTATION AVAILABLE</b></p> <p>Indicates that these securities/instruments are being sold (i) pursuant to an SEC registration statement or where a prospectus is otherwise required (ii) in the case of certain exempted securities or certificates of deposit (CDs) either where offering documentation is required or there is an agreement or policy to deliver offering documentation. For assistance obtaining a copy of the final prospectus/offering documentation relating to these securities you may contact us at 800 584-6837</p> <p><b>CHARGES AND FEES</b></p> <p><b>CHARGE</b> Represents the markup/down from the wholesaler's or dealer's price</p> <p><b>FSCF</b> Represents a pass through of Foreign Securities clearance fees incurred by Morgan Stanley Smith Barney LLC for this transaction</p> <p><b>SUPPLEMENTAL TRANSACTION FEE</b> Represents fee to offset additional expenses associated with processing certain transactions</p> <p><b>PROCESSING FEE</b> Represents processing charges for certain executed orders</p> <p><b>CDSC</b> Represents Contingent Deferred Sales Charge</p> <p><b>DSC</b> Represents Deferred Sales Charge</p>	<p><b>Any inquiries regarding this transaction should be made by using the telephone number provided on the reverse side</b></p> <p><b>This transaction is conclusive and binding if not objected to in writing within three days of receiving this trade confirmation</b></p> <p><b>All Good Till Cancelled (GTC) orders have an expiration date which is displayed on the front of this notice. Until expiration all open orders are considered good until cancelled by your or executed by us. When entering a substitute order or changing an existing order the responsibility for canceling the original order rests upon the customer. Therefore if a customer fails to cancel an existing order transactions resulting from the execution of both the original and new order(s) will be entered in the customer's account</b></p> <p><b>Municipal Advisory Rule Disclosures for Municipal Entities and Obligated Persons</b> Morgan Stanley Smith Barney LLC is not acting as a municipal advisor to any municipal entity or obligated person within the meaning of Section 15B of the Securities Exchange Act (Municipal Advisor Rule). If you have a Brokerage Account please note that 1) we do not owe you a fiduciary duty pursuant to the Municipal Advisor Rule when we make statements or provide you with information regarding your Brokerage Account 2) we may be acting for own interests and 3) before acting on any statements made or information provided by us you should consult any and all advisors as you deem appropriate</p> <p><b>For most fixed income/debt securities price is expressed as a percentage of par. Additional information is available upon request</b></p> <p><b>This agreement shall inure to the benefit of any successor or assigns of Morgan Stanley Smith Barney LLC</b></p>
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<p><b>GROSS PROCEEDS</b></p> <p>If the transaction being confirmed is a sale or redemption this information may be furnished to the Internal Revenue Service</p>	
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**Your Account Number** 725-XXX127-203  
Account Type - Cash

ALEXANDER YON TOD  
SUBJECT TO STA RULES  
4516 REBECCA CT  
ELLICOTT CITY MD 21043

**E\*TRADE from Morgan Stanley**  
P O BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331

*This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page*

Trade Date	Settlement Date	Quantity	Price	Settlement Amount	
10/06/2023	10/11/2023	1,847	0 2156	Principal	\$398 21
<b>Transaction Type Bought</b>				<b>Net Amount</b>	<b>\$398 21</b>

**Description META MATERIALS INC**

Symbol / CUSIP / ISIN MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent

Morgan Stanley Smith Barney LLC Member SIPC The transaction(s) may have been executed with Morgan Stanley & Co LLC, an affiliate, which may receive compensation for any such services E\*TRADE is a business of Morgan Stanley

## CONDITIONS AND DISCLOSURES

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Account Type - Cash

ALEXANDER YON TOD  
SUBJECT TO STA RULES  
4516 REBECCA CT  
ELLCOTT CITY MD 21043

**E\*TRADE from Morgan Stanley**  
P O BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331

*This transaction is confirmed in accordance with the information provided on the Conditions and Disclosures page*

Trade Date	Settlement Date	Quantity	Price	Settlement Amount	
10/09/2023	10/11/2023	6,000	0 2126	Principal	\$1,275 60
<b>Transaction Type Bought</b>				<b>Net Amount</b>	<b>\$1,275 60</b>

**Description META MATERIALS INC**

Symbol / CUSIP / ISIN MMAT / 59134N104 / US59134N1046

Unsolicited trade

Morgan Stanley Smith Barney LLC acted as agent

Morgan Stanley Smith Barney LLC Member SIPC The transaction(s) may have been executed with Morgan Stanley & Co LLC, an affiliate, which may receive compensation for any such services E\*TRADE is a business of Morgan Stanley

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Account Type - Cash

ALEXANDER YON TOD  
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ELLICOTT CITY MD 21043

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P O BOX 484  
JERSEY CITY, NJ 07303-0484  
(800)-387-2331

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Trade Date	Settlement Date	Quantity	Price	Settlement Amount	
10/24/2023	10/26/2023	3,621	0 1499	Principal	\$542 79
<b>Transaction Type Bought</b>				<b>Net Amount</b>	<b>\$542 79</b>

**Description** META MATERIALS INC  
Symbol / CUSIP / ISIN MMAT / 59134N104 / US59134N1046  
Unsolicited trade  
Morgan Stanley Smith Barney LLC acted as agent

Morgan Stanley Smith Barney LLC Member SIPC The transaction(s) may have been executed with Morgan Stanley & Co LLC, an affiliate, which may receive compensation for any such services E\*TRADE is a business of Morgan Stanley

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